

A first-order qSV-wave propagator in 2D VTI media

He Liu and Kris Innanen

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UNIVERSITY OF CALGARY
FACULTY OF SCIENCE
Department of Geoscience



- Motivation
- Methodology
- Synthetic Examples
- Conclusions



- Dellinger and Etgen (1990) proposed to separate P- and S-wavefields with wavenumber-domain operators (polarization vector) in homogeneous media.
- Yan and Sava (2009, 2011) proposed to separate P- and S-wavefields with space-domain operators, which can be used in heterogeneous media.
- Other than directly separate P- and S-waves from full elastic waves, some researchers have tried to solve it by the forward simulation of pure P- and S-waves (Zhang et al., 2007; Cheng and Kang, 2013, 2016).



- Zhang et al. (2007) proposed to simulate separated P- and S-waves with fully decoupled first-order P- and S-wave equations using staggered-grid finite-difference method.
- Cheng and Kang (2016) proposed to split wavefield separation into a two-steps procedure, which is an alternative approach to simulate separated S-waves using modified second-order elastic wave equations in anisotropic media.
- Adopt **staggered-grid scheme** (Virieux, 1984; 1986) for better accuracy and efficiency.
- Adopt **first-order Hybrid-PML** (Zhang et al., 2014) to achieve better performance and stability in the models with extreme anisotropy.



First-order propagator of qSV-waves in 2D VTI media

Based on Helmholtz theory ([Aki and Richards, 2002](#)), a wavefield vector $\mathbf{U} = \{U_x, U_z\}$ in isotropic media can be decomposed into P-wavefield (curl-free) and S-wavefield (divergence-free)

$$U = U^P + U^S, \quad (1)$$

In isotropic media, scalar S-waves can be separated from displacement wavefield \mathbf{U} by applying a curl operation

$$\tilde{U}^S = i K \times \tilde{U}. \quad (2)$$

In anisotropic media, equation (2) can be rewritten as

$$\tilde{U}^{SV} = i a^{qP} \times \tilde{U} \quad (3)$$

where $a^{qP} = (a_x^{qP}, a_z^{qP})^T$ is the polarization vector of qP-mode waves.

For heterogeneous models, this separation procedure need to be performed using nonstationary space domain operators ([Yan and Sava, 2009](#)).



Cheng and Kang (2016) proposed to split this separation procedure into a two-steps scheme.

First, project the original qSV-wavefield onto isotropic references of local polarization direction through the introduction of a similarity transform to Christoffel matrix G

$$\tilde{G}_{qSV} = M_{SV} G M_{SV}^{-1} \quad (4)$$

Where

$$M_{SV} = \begin{bmatrix} k_x & k_z & 0 \\ 0 & & -k_x^2 \end{bmatrix} \quad (5)$$

According to the elastic matrix of 2D VTI medium,

$$C = \begin{bmatrix} C_{11} & C_{13} & & \\ C_{13} & C_{33} & & \\ & & & C_{44} \end{bmatrix} \quad (6)$$



Christoffel matrix \tilde{G} has the form as below:

$$\tilde{G} = \begin{bmatrix} C_{11} k_x^2 + C_{44} k_z^2 & (C_{13} + C_{44}) k_x k_z \\ (C_{13} + C_{44}) k_x k_z & C_{44} k_x^2 + C_{33} k_z^2 \end{bmatrix}. \quad (7)$$

After the similarity transform of Christoffel matrix,

$$\tilde{G}_{qSV} = \begin{bmatrix} C_{11} k_x^2 + C_{44} k_z^2 & -(C_{13} + C_{44}) k_x k_z \\ -(C_{13} + C_{44}) k_x k_z & C_{44} k_x^2 + C_{33} k_z^2 \end{bmatrix} \quad (8)$$

In this way, Christoffel equation of qSV-waves is derived as below:

$$\tilde{G}^{qSV} \tilde{U}^{qSV} = \rho \omega^2 \tilde{U}^{qSV}. \quad (9)$$

Through inverse Fourier transform of equation (9), second-order pseudo-pure-qSV-mode wave equations can be obtained:

$$\rho \frac{\partial^2 \overline{U}^{qSV}}{\partial t^2} = \overline{G} \overline{U}^{qSV}. \quad (10)$$



The second-order qSV-mode wave equation (10), can be expressed as below:

$$\rho \frac{\partial^2 u_x}{\partial t^2} = C_{11} \frac{\partial^2 u_x}{\partial x^2} + C_{44} \frac{\partial^2 u_x}{\partial z^2} - (C_{13} + C_{44}) \frac{\partial^2 u_z}{\partial z^2} \quad (11)$$

$$\rho \frac{\partial^2 u_z}{\partial t^2} = C_{33} \frac{\partial^2 u_z}{\partial z^2} + C_{44} \frac{\partial^2 u_z}{\partial x^2} - (C_{13} + C_{44}) \frac{\partial^2 u_x}{\partial x^2}.$$

The scalar wavefield \mathbf{U} still contains some weak residual qP-waves. So equation 11 is called a pseudo-pure-qSV-wave equations (Cheng and Kang, 2016).

Virieux (1984, 1986) proposed to adopt staggered-grid scheme in the velocity-stress elastic wave equations for better efficiency and accuracy .

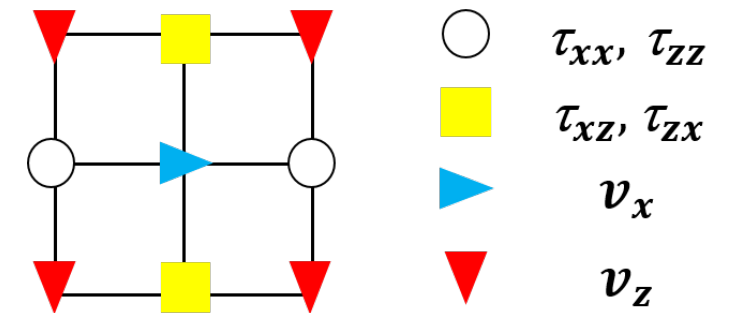


Fig 1. 2D Staggered grid



First-order propagator of qSV-waves in 2D VTI media

First, we introduce velocity fields v_x and v_z as intermediate variables and let

$$\frac{\partial u_x}{\partial t} = v_x \quad (12)$$

$$\frac{\partial u_z}{\partial t} = v_z$$

Equation (12) keeps the same relationship between displacement and velocity fields as in original elastic wave equations ([Virieux, 1986](#)).



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For qSV-mode wave equation (11), we further introduce variables $\sigma_{xx}, \sigma_{zz}, \sigma_{xz}, \sigma_{zx}$ (Liu et al., 2018)

$$\rho \frac{\partial \sigma_{xx}}{\partial t} = C_{11} \frac{\partial v_x}{\partial x} \quad (13)$$

$$\rho \frac{\partial \sigma_{zz}}{\partial t} = C_{33} \frac{\partial v_z}{\partial z}$$

$$\rho \frac{\partial \sigma_{xz}}{\partial t} = C_{44} \frac{\partial v_x}{\partial z} - (C_{13} + C_{44}) \frac{\partial v_z}{\partial z}$$

$$\rho \frac{\partial \sigma_{zx}}{\partial t} = C_{44} \frac{\partial v_z}{\partial x} - (C_{13} + C_{44}) \frac{\partial v_x}{\partial x}$$



Then we substitute equation (13) into equation (11),

$$\begin{aligned}\rho \frac{\partial v_x}{\partial t} &= \frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{xz}}{\partial z} \\ \rho \frac{\partial v_z}{\partial t} &= \frac{\partial \sigma_{zx}}{\partial x} + \frac{\partial \sigma_{zz}}{\partial z}\end{aligned}\tag{14}$$

Wave modes can also be separated from velocity and stress fields ([Zhang and McMechan, 2010](#)).



First-order propagator of qSV-waves in 2D VTI media

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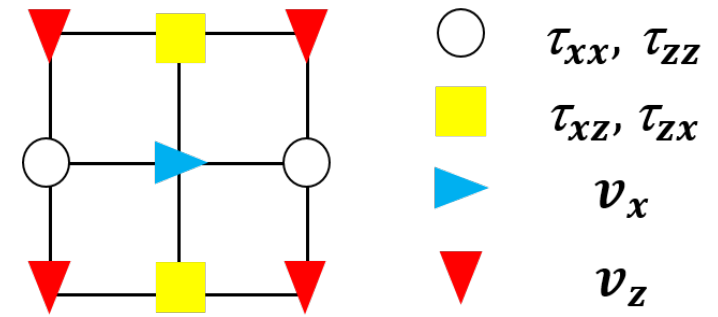


Fig 1. 2D Staggered Grid

v_x and v_z are not distributed at the same nodes, therefore v_z field needs to be phase shifted. Alternatively, corresponding v_z field could be averaged by 4 v_z fields surrounding the v_x field.



Applying the Thomsen notation (Thomsen, 1986):

$$C_{11} = (1 + 2\epsilon)\rho v_{p0}^2$$

$$C_{33} = \rho v_{p0}^2$$

$$C_{44} = \rho v_{s0}^2$$

$$v_{pn} = v_{p0} \sqrt{(1 + 2\delta)}$$

$$(C_{33} + C_{44})^2 = \rho^2 (v_{p0}^2 - v_{s0}^2) (v_{pn}^2 - v_{s0}^2)$$



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The first-order qSV-wave equations can be rewritten as below:

$$\rho \frac{\partial \sigma_{xx}}{\partial t} = (1 + 2\epsilon)\rho v_{p0}^2 \frac{\partial v_x}{\partial x}$$

$$\rho \frac{\partial \sigma_{zz}}{\partial t} = \rho v_{p0}^2 \frac{\partial v_z}{\partial z}$$

$$\rho \frac{\partial \sigma_{xz}}{\partial t} = \rho v_{s0}^2 \frac{\partial v_x}{\partial z} - \sqrt{\rho^2 (v_{p0}^2 - v_{s0}^2) (v_{pn}^2 - v_{s0}^2)} \frac{\partial v_z}{\partial z}$$

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First-order propagator of qSV-waves in 2D VTI media

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Besides, the first-order Hybrid-PML (Zhang et al., 2014) can be directly implemented in this first-order finite difference algorithm. The stretching factor is expressed as:

$$s_x = \frac{d_x + m_{x/z} d_z}{\alpha_x + i\omega} \quad (17)$$



Similarity transform of Christoffel matrix

$$\tilde{G}_{qSV} = M_{SV} G M_{SV}^{-1} \quad (4)$$

This procedure equals to project the wavefield on the isotropic references

$$\tilde{U}^S = i K \times \tilde{U}. \quad (2)$$



Correction of projection deviation of qSV-waves

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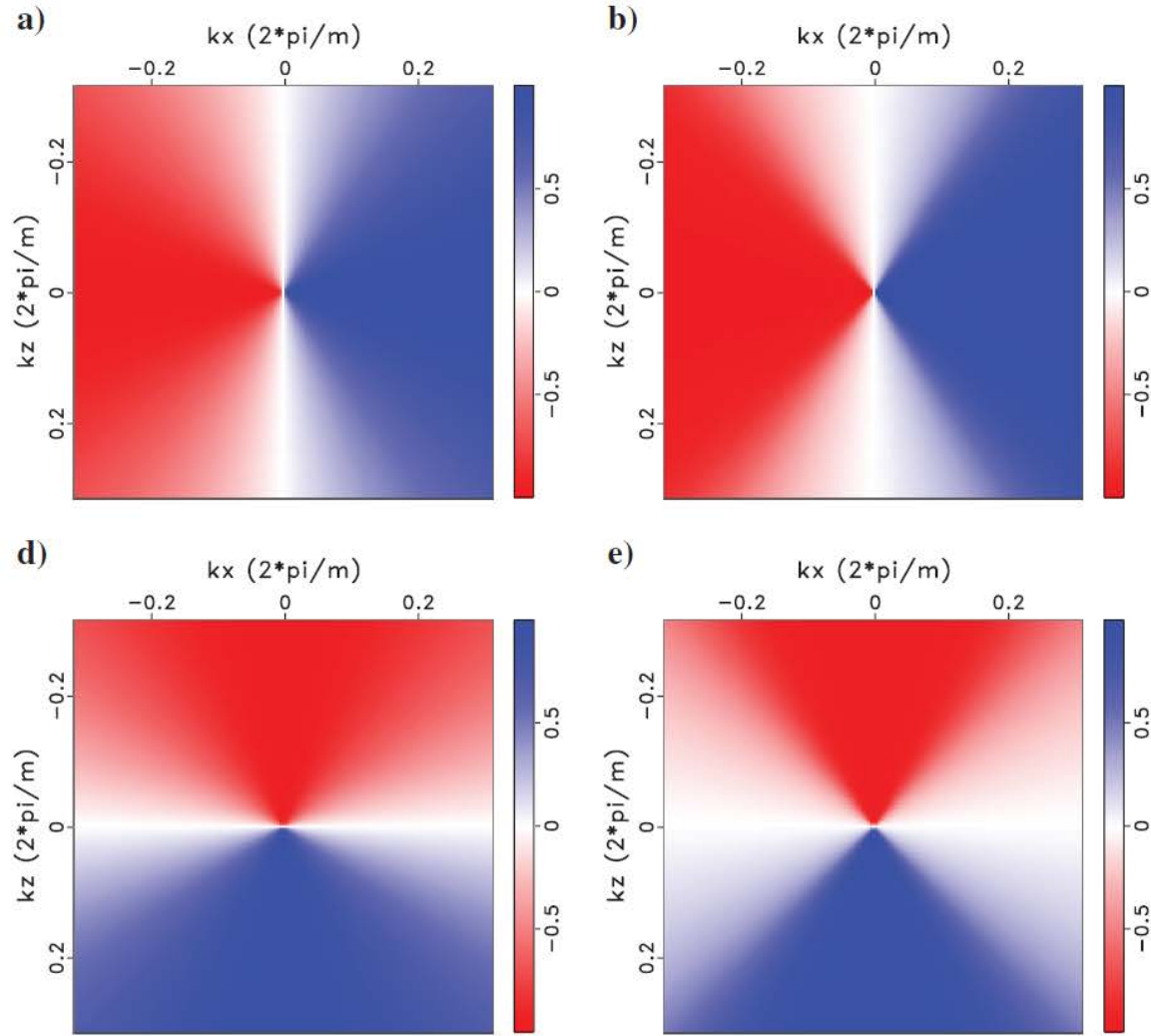
$$\tilde{U}^S = i K \times \tilde{U}. \quad (2)$$

- a partial separation is achieved during wavefield simulation.
- there will still be some residual qP-wave energy in the synthetic wavefields.
- separation operators in anisotropic media need to be normalized by the separation operators in isotropic media to obtain the space-domain deviation operators ([Cheng and Kang, 2016](#)).

To achieve a complete wavefield separation, apply space-domain deviation operators to the synthetic wavefields.



Correction of projection deviation of qSV-waves

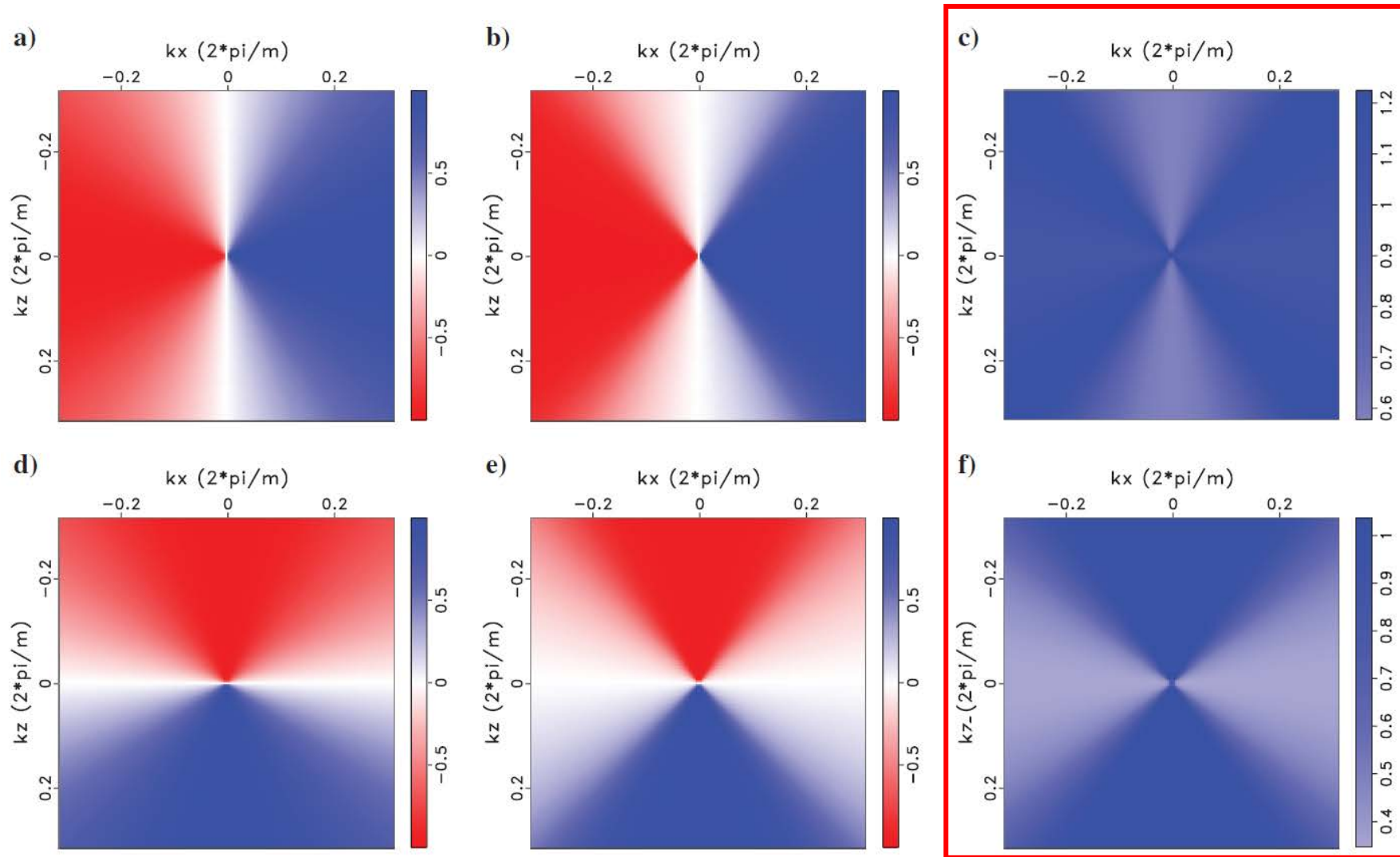


Dellinger and Etgen, 1990

Figure 1. **Wavenumber-domain operators** of projection onto isotropic (reference) and anisotropic polarization vectors of qP-waves, and wavenumber-domain deviation operators in a 2D homogeneous VTI medium: k (left), a_p (middle), and E_p (right); Top: x-component, Bottom: z-component.



Correction of projection deviation of qSV-waves



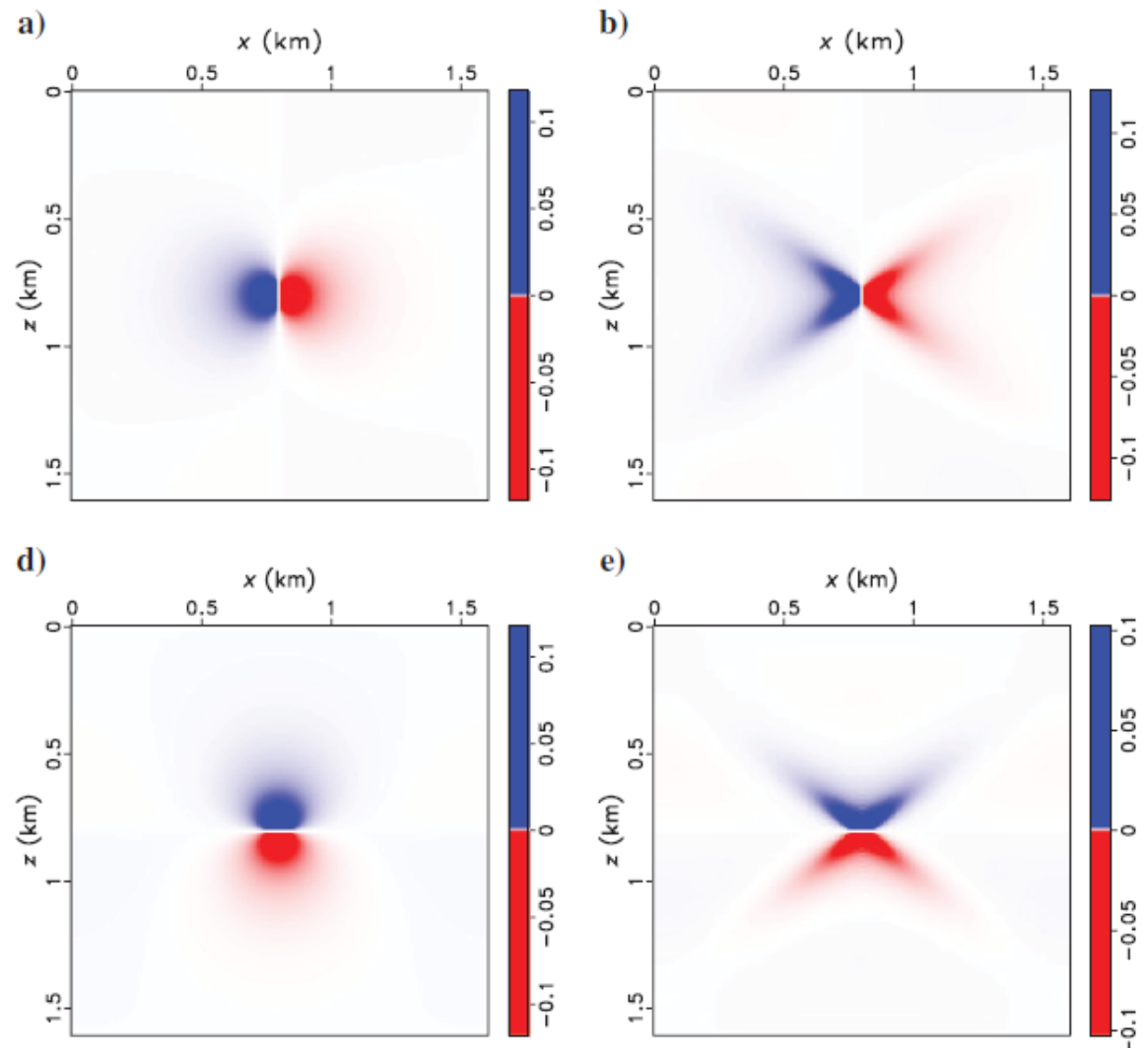
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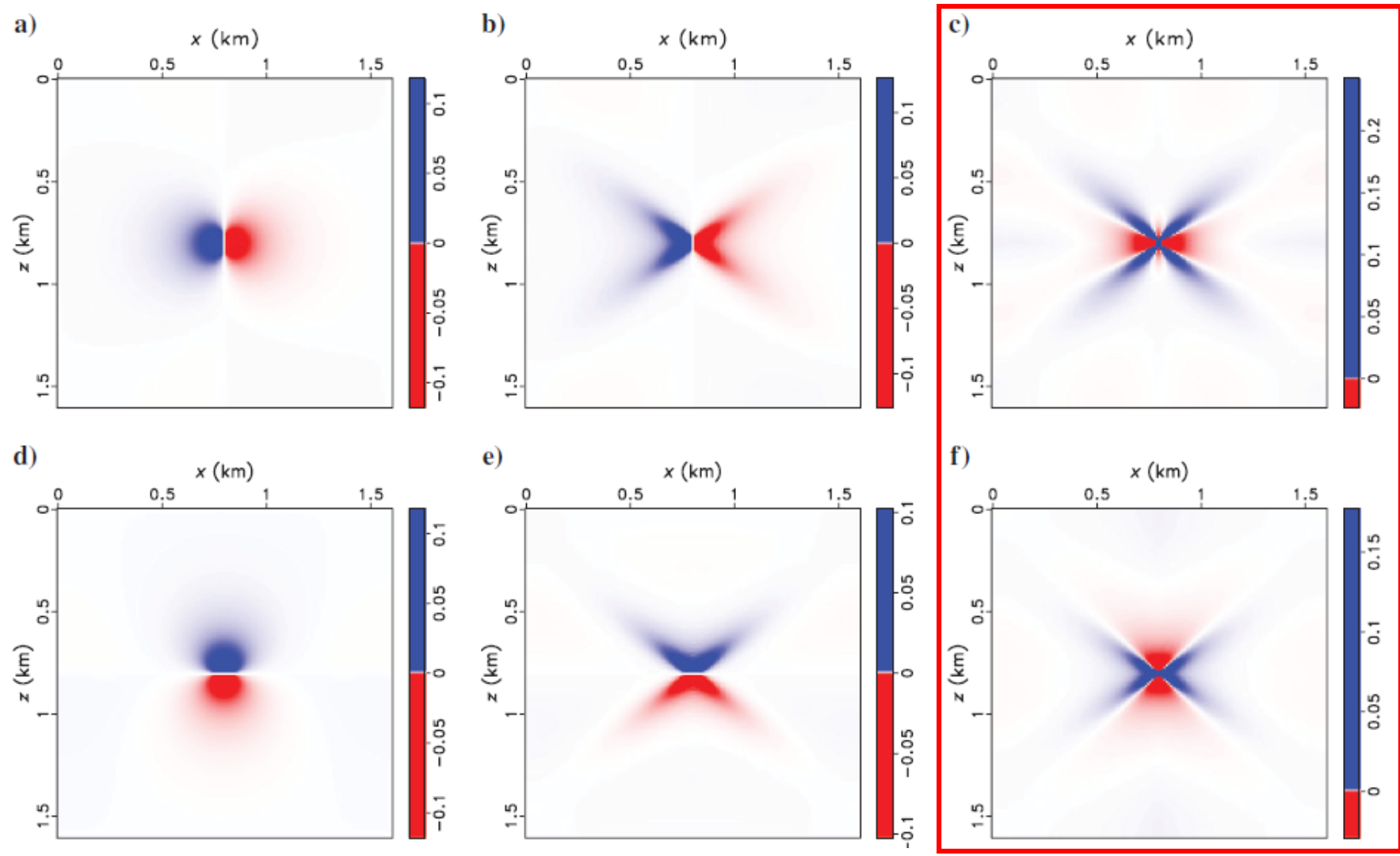


Yan and Sava, 2009

Figure 2. **Space-domain operators** of projecting onto isotropic (left) and anisotropic (middle) polarization vectors, and the corresponding deviation operators (right): Top: x-component, Bottom: z-component.



Correction of projection deviation of qSV-waves



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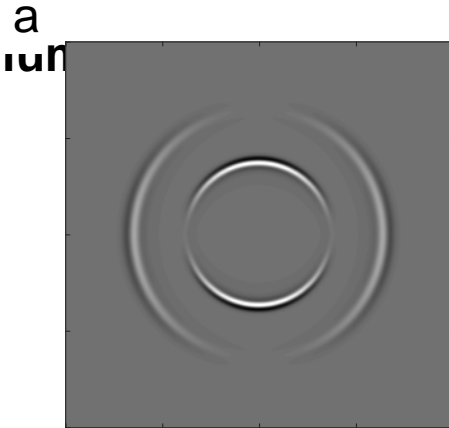
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Simulation examples of separated scalar qSV-waves

Homogeneous isotropic medium



c

e

d

Model size : 2 km*2 km
Density : 2500 kg/m³
V_p: 4000 m/s
V_s: 2300 m/s

For isotropic media, this algorithm directly produces scalar SV-waves.

FIG. 3. Synthetic wavefields in an isotropic medium: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-components simulated by first-order pseudo-pure-mode qSV-wave equations; e) separated scalar qSV-wave field.



Homogeneous VTI medium with weak anisotropy

$$v_{p0} = 3000\text{m/s}, \epsilon = 0.1$$

$$v_{s0} = 1500\text{m/s}, \delta = 0.05$$

Cheng and Kang (2013, 2016)

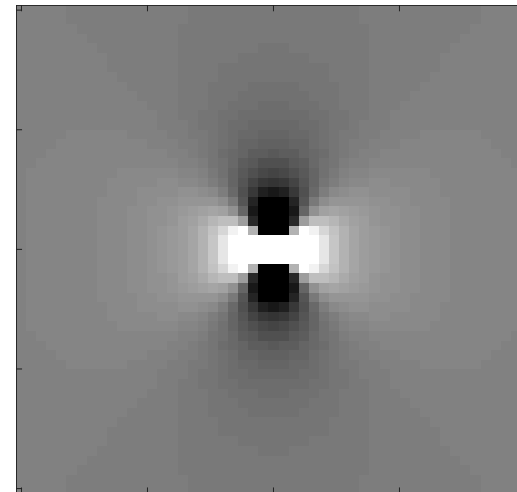
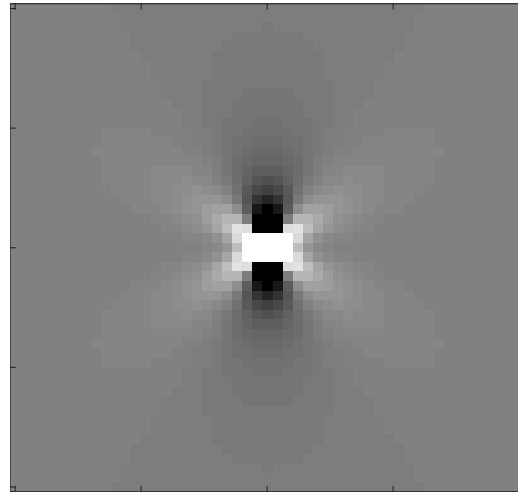


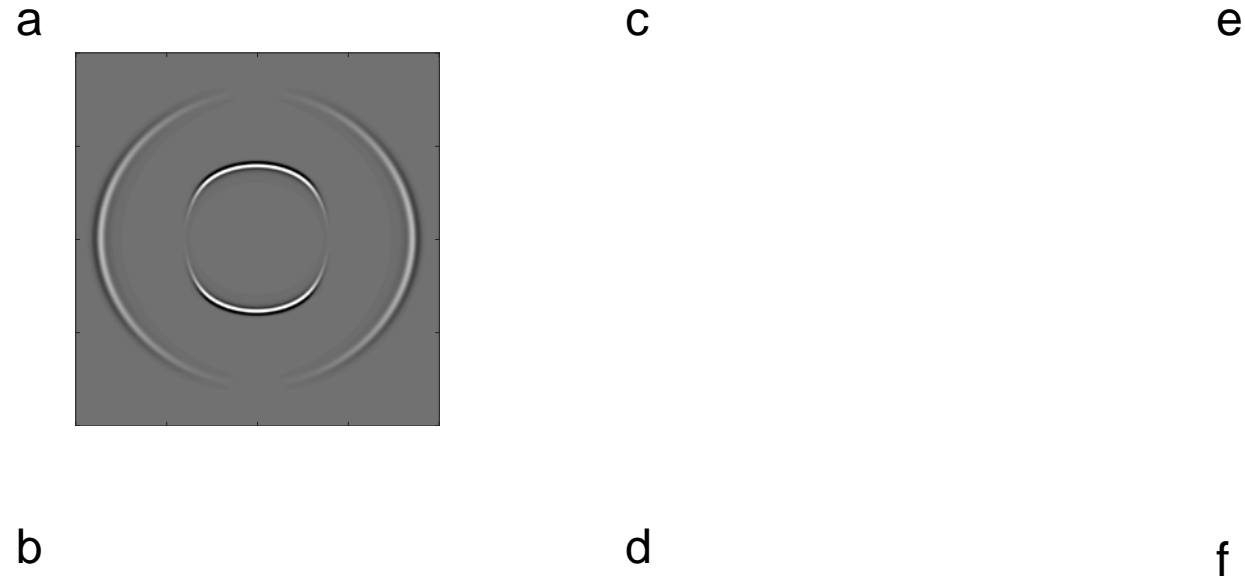
FIG. 3. Space-domain deviation operators.



Simulation examples of separated scalar qSV-waves

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the summation produces scalar wavefields dominant of qSV-wave energy.

FIG. 7. Synthetic wavefields in a VTI medium with weak anisotropy: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; e) pseudo-pure-mode scalar qSV-wave field; f) separated scalar qSV-wave field.



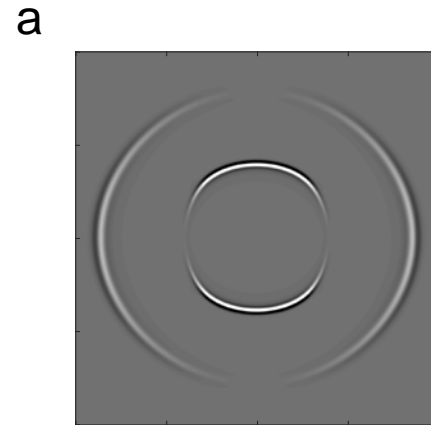
Simulation examples of separated scalar qSV-waves

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Cheng and Kang (2013, 2016)



b

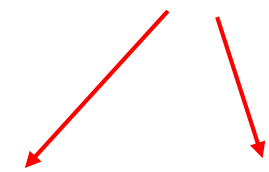
c

d

e

f

Residual qP-wave



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Simulation examples of separated scalar qSV-waves

Homogeneous VTI medium with strong anisotropy

$c_{11} = 23.87$ GPa,
 $c_{33} = 15.33$ GPa,
 $c_{13} = 9.79$ GPa,
 $c_{44} = 2.77$ GPa,
 $\rho = 2500$ kg/m³
(Tang, 2004)

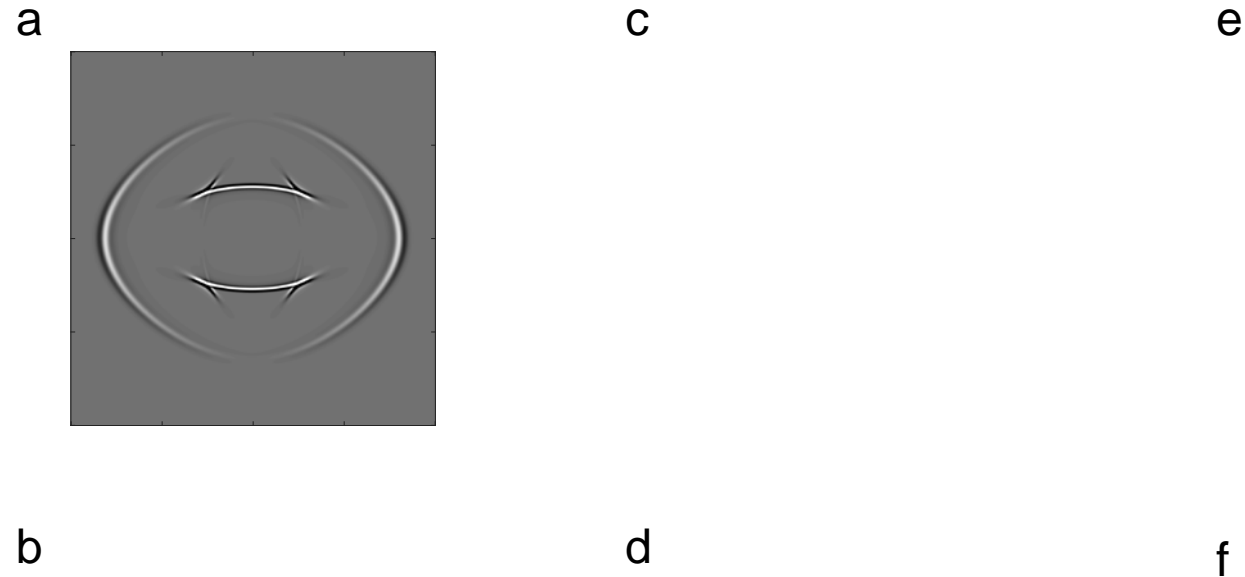


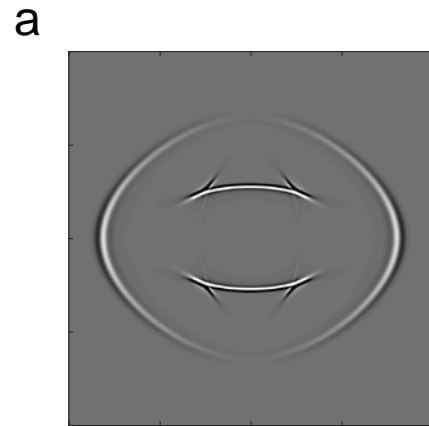
FIG. 8. Synthetic wavefields in a VTI medium with strong anisotropy: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; e) pseudo-pure-mode scalar qSV-wave field; f) separated scalar qSV-wave field.



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b

c

d

e

f

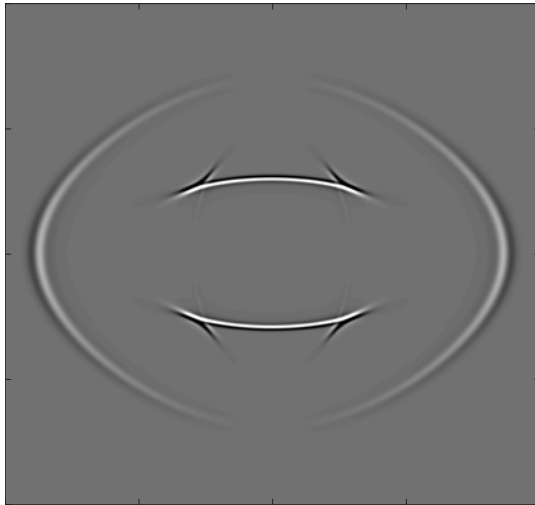
Residual qP-wave

FIG. 8. Synthetic wavefields in a VTI medium with strong anisotropy: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; e) pseudo-pure-mode scalar qSV-wave field; f) separated scalar qSV-wave field.

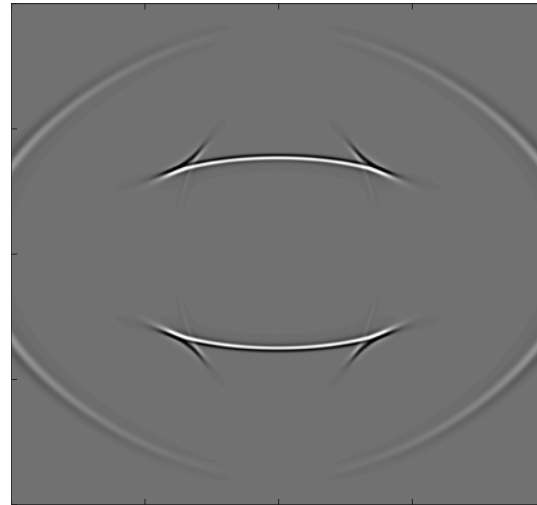


Performance of Hybrid-PML implemented in this algorithm

a



b



c

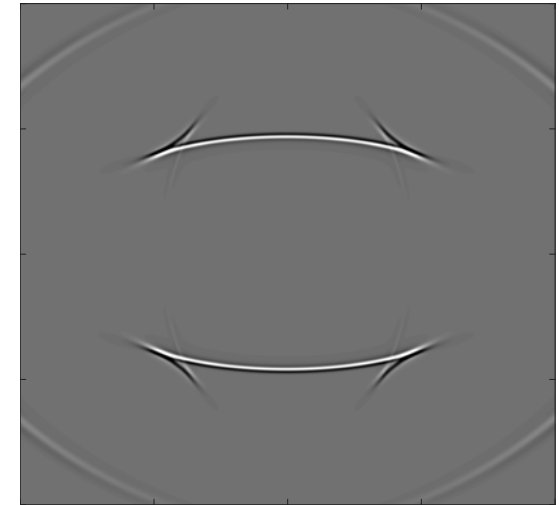


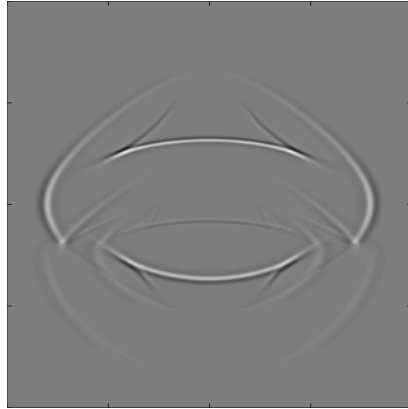
FIG. 9. Snapshots of x-component simulated by first-order pseudo-pure-mode qSV-wave equations in a VTI medium with strong anisotropy: a) 320 ms, b) 400 ms and c) 480 ms, respectively.



Simulation examples of separated scalar qSV-waves

Heterogeneous bilayer VTI media

a



c

e

First layer:
strongly anisotropic medium

b

d

f

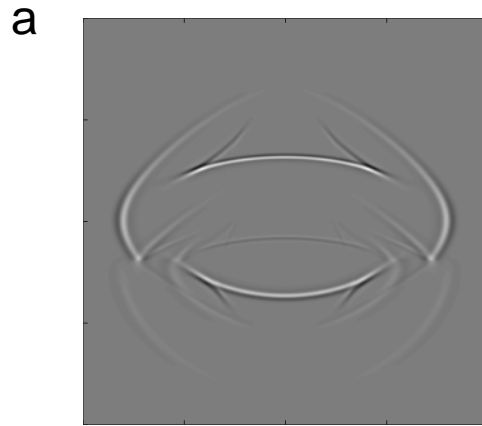
Second layer:
weakly anisotropic medium

FIG. 10. Synthetic wavefields in a layered VTI model with strong anisotropy in the first layer and weak anisotropy in the second layer: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; e) pseudo-pure-mode scalar qSV-wave field; f) separated scalar qSV-wave field.



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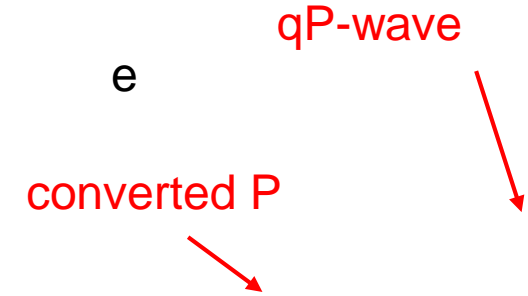
b

Second layer:
weakly anisotropic medium

c

d

e

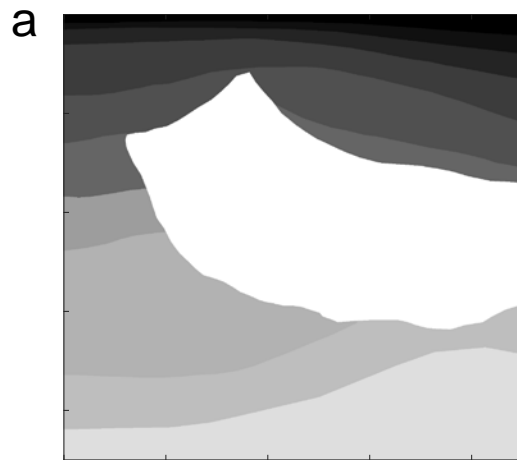


f

FIG. 10. Synthetic wavefields in a layered VTI model with strong anisotropy in the first layer and weak anisotropy in the second layer: a) x- and b) z-component simulated by original elastic wave equations; c) x- and d) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; e) pseudo-pure-mode scalar qSV-wave field; f) separated scalar qSV-wave field.



Heterogeneous part of SEG/Hess VTI model



b

c

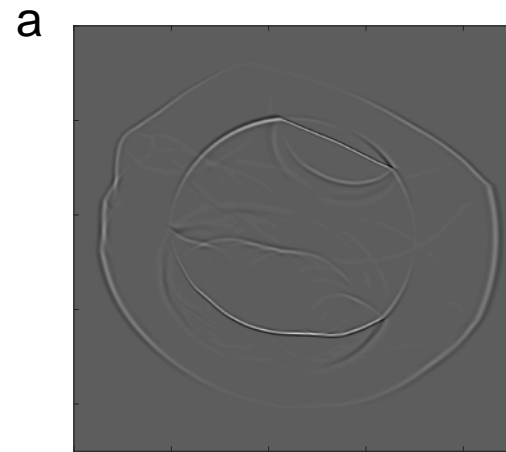
d

FIG. 11. Part of SEG/Hess VTI model: a) C_{11} , b) C_{13} , c) C_{33} and d) C_{44} .

For a heterogeneous model, all space-domain deviation operators for each medium need to be calculated with their elastic parameters or Thomsen parameters.



Heterogeneous part of SEG/Hess VTI model



b

c

d

FIG. 12. Synthetic wavefields in SEG/Hess VTI model: a) x- and b) z-component simulated by first-order pseudo-pure-mode qSV-wave equations; c) pseudo-pure-mode scalar qSV-wave field; d) separated scalar qSV-wave field.



- ❖ In this study, we have proposed a first-order qSV-wave propagator in general 2D VTI media.
- ❖ We have demonstrated this algorithm with synthetic examples of qSV-waves in homogeneous VTI medium with weak/strong anisotropy, layered VTI model and part of SEG/Hess VTI model.
- ❖ The snapshots of x -component of velocity field propagating at different time demonstrated that first-order Hybrid-PML can be efficiently implemented in this algorithm.
- ❖ Similar strategy could be used to develop a qP-wave propagator.



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